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IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE

Patent

Phoenix, Arizona

Applicant: Thomas R. Werner et al. Group: 2881
Serial No.: 10/028,439 Examiner: Not assigned
Filed: 12-28-2001 Atty Docket No.: V637 25543 US
For: INTEGRAL VERTICAL CAVITY SURFACE EMITTING LASER AND
POWER MONITOR

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
(37 C.F.R. 1.97(b)(3))Before Mailing of a first Office action)

Box Patent Application
Assistant Commissioner of Patents
POB 1450
Alexandria, VA 22313-1450

Dear Sir:

The following sections are being submitted for this Supplemental Information
Disclosure Statement:

1. Preliminary Statements.

Applicants submit herewith additional patents, publications or other
information as the result of an International Search Report, that they believe may be
material to the patentability of the claims under consideration in this application and
in respect of which there may be a duty to disclose under 37 C.F.R. § 1.56.

The filing of this Supplemental Information Disclosure Statement shall not be
construed as a representation that a search has been made (37 CFR § 1.97(g)), an
admission that the information cited is, or is considered to be, material to
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material information exists.

The filing of this Supplemental Information Disclosure Statement is not to be
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35 U.S.C. §§ 102 or 103. Further, any explanation, if provided, is not to be
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In particular, no representation as to the relevance of any portion of any reference
is intended.

The filing of this Supplemental Information Disclosure Statement shall not be
construed as an admission against interest in any manner. Notice of January 9,
1992, 1135 O.G. 13-25, at 25.

2. Form PTO-1449, List of Prior Art Cited by Applicant, citing no U.S. patents,
three foreign patents, and two publications.

3. Attached to this Supplemental Information Disclosure Statement is a copy of
the International Search Report in the corresponding International Application, along
with the cited references, for consideration by the U.S. Patent and Trademark
Office. Copies of the references listed in the International Search Report that have
not been provided are believed to define the general state of the art and are not
considered to be of particular relevance.

PATENT

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4. These references are in addition to those listed in the Supplemental Information Disclosure Statements and Form PTO 1449 filed with the Patent and Trademark Office on March 24, 2003, and December 21, 2001.

5. Statement in accordance with 37 C.F.R. § 1.97(e):

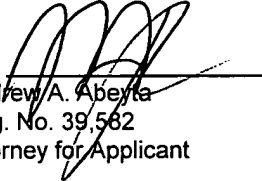
☒ (1) Each item of information contained in the Supplemental Information Disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the Supplemental Information Disclosure statement; or

☐ (2) No item of information contained in the Supplemental Information Disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Supplemental Information Disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Supplemental Information Disclosure statement.

6. The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor(s) and the information in the attorney's file.

7. Because this Supplement Information Disclosure Statements is filed in accordance with 37 CFR 1.97(b)(3), before mailing date of first office action, no fees are due.

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CERTIFICATE OF MAILING

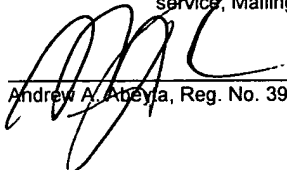
I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited on the date shown below addressed to the Assistant Commissioner of Patents, POB 1450, Alexandria, VA, 22313-1450:

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With the United States Postal Service
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Dated: 5-20-03


Andrew A. Abeyta, Reg. No. 39,582